

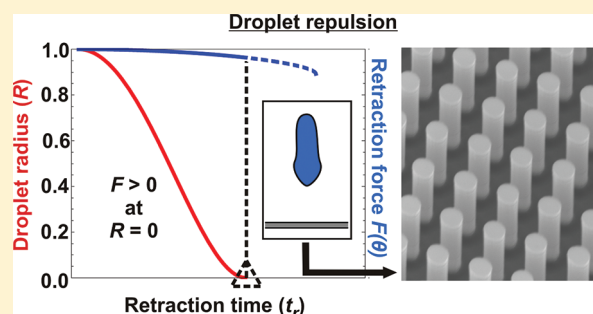
## Predictive Model for Ice Formation on Superhydrophobic Surfaces

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## S Supporting Information

**ABSTRACT:** The prevention and control of ice accumulation has important applications in aviation, building construction, and energy conversion devices. One area of active research concerns the use of superhydrophobic surfaces for preventing ice formation. The present work develops a physics-based modeling framework to predict ice formation on cooled superhydrophobic surfaces resulting from the impact of supercooled water droplets. This modeling approach analyzes the multiple phenomena influencing ice formation on superhydrophobic surfaces through the development of submodels describing droplet impact dynamics, heat transfer, and heterogeneous ice nucleation. These models are then integrated together to

achieve a comprehensive understanding of ice formation upon impact of liquid droplets at freezing conditions. The accuracy of this model is validated by its successful prediction of the experimental findings that demonstrate that superhydrophobic surfaces can fully prevent the freezing of impacting water droplets down to surface temperatures of as low as  $-20$  to  $-25$  °C. The model can be used to study the influence of surface morphology, surface chemistry, and fluid and thermal properties on dynamic ice formation and identify parameters critical to achieving icephobic surfaces. The framework of the present work is the first detailed modeling tool developed for the design and analysis of surfaces for various ice prevention/reduction strategies.



## 1. INTRODUCTION

Ice formation adversely affects many aspects of everyday living through frozen windshields, downed power lines, and burst pipes. Beyond these commonplace negative impacts, the operation of aircraft<sup>1</sup> and wind turbines<sup>2</sup> is severely impacted during icing conditions because of the safety and energy efficiency considerations. Commonly used active ice-removal strategies have large drawbacks; heating consumes energy, and the environmental footprint associated with freeze-retarding chemicals limits their use as deicing agents.

A survey of the recent literature indicates growing interest in the use of passive techniques for ice mitigation. Foremost among these is the use of superhydrophobic surfaces for preventing ice formation.<sup>3–9</sup> This concept draws inspiration from various natural materials, found in both animals and plants, that rely on a combination of surface morphology and surface chemistry to repel water<sup>10–14</sup> from their surfaces. Figure 1 shows the result of the impact of water droplets on room-temperature superhydrophobic surfaces; it is seen that the droplets completely bounce off the surface. We have recently reported a comprehensive experimental study that examined droplet impact on superhydrophobic surfaces and their ice-repelling properties under freezing conditions.<sup>8</sup> This work developed a new concept in which the limited time of contact of an impacting liquid on a

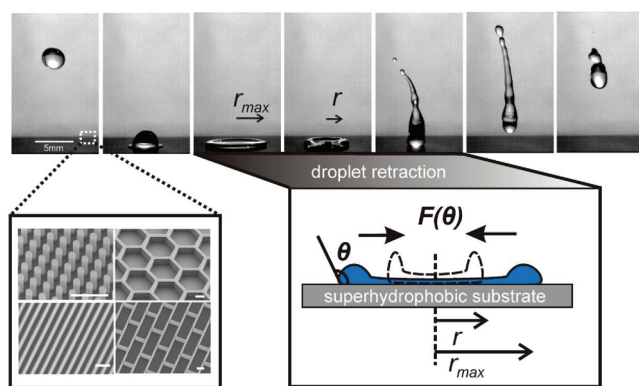
superhydrophobic surface allows a supercooled water droplet to bounce off the surface before it can freeze (even at deep supercooling), thus fully preventing ice formation. Furthermore, it was seen<sup>8</sup> that superhydrophobic surfaces completely prevent ice formation up to substrate temperatures of as low as  $-20$  to  $-25$  °C, whereas the hydrophilic and hydrophobic surfaces lead to significant ice accumulation because the droplets do not rebound completely. The existence of a critical substrate temperature,<sup>8</sup> above which ice formation from droplet impact (on superhydrophobic surfaces) is fully prevented, has not been explored in any of the other existing studies of surface icing.

There have been other recent efforts to study superhydrophobic surfaces to control the phase-change phenomena of condensation,<sup>15</sup> boiling, and freezing.<sup>3–7,9</sup> Most existing studies of ice accumulation on superhydrophobic<sup>16</sup> surfaces have focused on the reduction in adhesion strength<sup>3,4</sup> and delayed nucleation.<sup>5–7</sup> Cao et al.<sup>7</sup> demonstrated that nanostructured surfaces repel supercooled liquids and reduce ice accumulation, but there was a marginal focus on studying ice repellency under liquid impact, which is common in most icing situations. Studies of

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**Figure 1.** Impact behavior of  $\sim 15 \mu\text{L}$  water droplets impacting a superhydrophobic surface. Images from left to right depict various stages of the droplet (released from a height of 10 cm) spreading to a maximum radius ( $r_{\text{max}}$ ) and then retracting completely from the surface. The four SEM images are illustrative superhydrophobic surfaces with posts, honeycombs, bricks, and blade structures (scale bar =  $10 \mu\text{m}$ ). Also included is a schematic showing the droplet represented as a lumped toroidal mass during the spreading and retraction stage.

static droplets<sup>17–23</sup> on superhydrophobic surfaces and droplet impact dynamics<sup>24–26</sup> on these surfaces have been conducted, but most studies are confined to room-temperature and elevated-temperature conditions. Another notable limitation of the existing reports is the absence of any theoretical approach to studying the dynamic aspects of ice formation on surfaces. A theoretical study of dynamic ice formation on surfaces requires a multi-physics approach that will draw on the fields of fluid dynamics, heat transfer, surface chemistry, and nucleation theory.

The present work addresses this important gap in the existing body of literature and presents a predictive modeling framework for the study of dynamic ice formation on superhydrophobic surfaces. Certain simplified elements of this model were very briefly utilized to explain the observations in our previously reported experimental work,<sup>8</sup> but a detailed theoretical analysis that would develop a comprehensive basis for the integration of ice nucleation theory with heat transfer and fluid dynamics has not been discussed. The model developed in the present theoretical work is analytical in nature, and only one empirical factor is used. The modeling framework consists of three submodels that describe the droplet impact dynamics, heat transfer, and heterogeneous ice nucleation on superhydrophobic surfaces. These submodels are then integrated to identify conditions and parameters for which ice does not form upon the impact of a supercooled droplet on a cold superhydrophobic surface. The predicted transition temperature for droplet freezing upon impact shows excellent agreement with the experimental data. It is important to note that components of the modeling framework developed here for a study of icing can also be used for an analysis of other phase-change phenomena on superhydrophobic surfaces, such as condensation and boiling; however, the present work focuses solely on the detailed theoretical modeling of the anti-icing performance of superhydrophobic surfaces.

## 2. MODELING ICE FORMATION ON SUPERHYDROPHOBIC SURFACES

This section presents details of the modeling framework used to predict ice formation on superhydrophobic surfaces.

The modeling describes the phenomenon of a droplet impacting a superhydrophobic substrate and the concurrent thermal and nucleation processes unfolding during the spreading and retraction stages of droplet impact. The superhydrophobic surface utilized in the present model consists of regularly arranged circular posts; however, it is important to note that the modeling framework can be utilized for the analysis of ice formation on any superhydrophobic surface morphology. The modeling framework consists of three separate submodels that predict droplet impact dynamics, heat transfer, and heterogeneous nucleation; the three submodels are described below.

**2a. Droplet Impact Dynamics Model.** This submodel predicts the spreading and retraction dynamics of a droplet impacting a superhydrophobic surface. It is important to note that the model is applicable to superhydrophobic surfaces that have adequate pressure stability to prevent a transition to the wetting (Wenzel) state upon impact. In deriving the governing dynamics equation, it is assumed that the mass of the droplet is localized near the peripheral contact line of the droplet as shown in Figure 1, in agreement with the experimentally observed toroidal shape of a droplet impacting superhydrophobic surfaces (spreading image in Figure 1). To first order, the expansion and retraction processes can be modeled as a harmonic oscillator,<sup>24</sup> with the entire droplet represented as a lumped mass. Upon impact, the droplet tends to spread because of inertia; this is countered by surface tension forces that act to prevent droplet spreading. The droplet spreads to a maximum radius, at which point surface tension forces cause it to begin retracting. The governing equation for a droplet retracting (or spreading) on a superhydrophobic surface can be established by balancing the horizontal forces at the contact line as

$$m_0 \ddot{r} + 2\pi\gamma r(1 - \cos \theta) = 0 \quad (1)$$

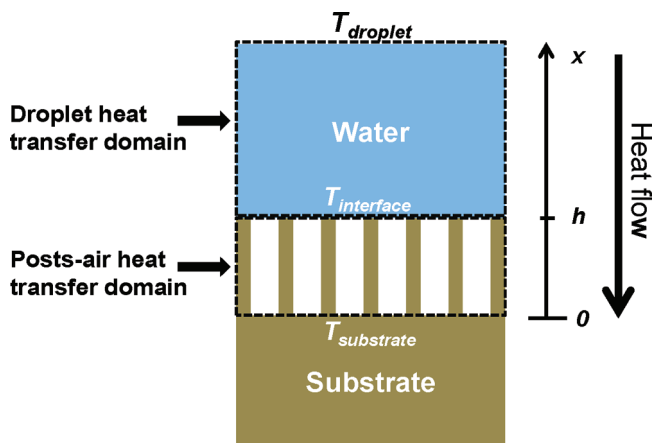
where  $m_0$  is the droplet mass,  $r$  is the radial position of the droplet contact line,  $\gamma$  is the liquid surface tension, and  $\theta$  is the macroscopic contact angle (advancing/retracting) of the droplet. The first term in the above equation represents droplet inertia, and the second term represents the surface-tension-based retraction force that acts radially inward; the  $2\pi\gamma r(1 - \cos \theta)$  term is the difference in the surface tension forces on the two sides of the toroidal droplet shown in Figure 1. It is important to highlight the absence of any friction term in the above equation; this is a consequence of the frictionless nature of the superhydrophobic surface. For a flat, nonsuperhydrophobic surface, the above equation can be modified to include another term corresponding to contact line friction. It also follows from the harmonic oscillator model that the spreading and retraction time of the droplet do not depend on the impact velocity<sup>24</sup> because the spreading and retraction are governed only by the liquid surface tension. It should also be noted that the extent of the spreading depends on the droplet volume.

Equation 1 can be nondimensionalized as follows

$$\frac{d^2 R}{dt^2} + \frac{1}{2}(1 - \cos \theta)R = 0 \quad (2)$$

where  $R = (r/r_{\text{max}})$ ,  $t = (t_r/\tau)$ , and  $\tau = (m_0/4\pi\gamma)^{1/2}$ .

In the above equations,  $R$  is the dimensionless position of the contact line,  $t$  is the dimensionless retraction time ( $t_r$ ),  $\tau$  is the characteristic time constant, and  $r_{\text{max}}$  is the maximum spreading radius of the droplet. The second term in this equation represents the retraction force  $F(\theta)$  that depends on



**Figure 2.** Model for predicting heat transfer from the droplet to the superhydrophobic surface through the thermal resistance imposed by the posts and the air beneath the droplet. (The image is not to scale.)

the apparent receding contact angle  $\theta$ . It will be shown later in this section that the change in the receding contact angle resulting from ice nucleation reduces the retraction force responsible for dewetting.

**2b. Model to Predict Heat Transfer on Superhydrophobic Surfaces.** During the time interval over which the droplet spreads and retracts, heat will be transferred from the relatively hot droplet to the substrate (for conditions where the substrate is at a lower temperature than the droplet). The thermal barrier for heat transfer to the substrate is provided by the posts and the trapped air layer underneath the droplet. We developed a simplified 1-D model to predict the transient temperature distribution inside the droplet while it is in contact with the superhydrophobic surface during spreading and retraction. It is important to note that this heat-transfer model can be utilized to predict the transient temperature distributions on any surface morphology and is not restricted to postlike geometries. The model neglects fluid convection effects due to the small size of the droplet. The temperature distribution in the droplet can be estimated by solving the heat conduction equations for two separate domains that are shown in Figure 2. The first domain is the droplet, and the second domain consists of the space occupied by the posts and the air beneath the droplet. The conduction equations for the postair domain and the droplet are as follows

$$\frac{\partial T_{ns}(x, t_c)}{\partial t_c} = \alpha_{ns} \frac{\partial^2 T_{ns}(x, t_c)}{\partial x^2} \text{ in the region } (0 < x < h) \quad (3)$$

$$\frac{\partial T_w(x, t_c)}{\partial t_c} = \alpha_w \frac{\partial^2 T_w(x, t_c)}{\partial x^2} \text{ in the region } (x > h) \quad (4)$$

where  $T_{ns}$  is the temperature of the postair domain,  $T_w$  is the droplet temperature,  $h$  is the height of the posts,  $t_c$  is the total time of liquid contact at a particular radial position (spreading and retraction), and  $\alpha_{ns}$  and  $\alpha_w$  are the thermal diffusivities of the postair domain (volume-averaged properties of the post material and air) and water, respectively (details in the Supporting Information). The two heat conduction equations are coupled through the common interface temperature at the droplet–substrate interface at  $x = h$ . The first boundary condition is based on the assumption that the substrate temperature  $T_{\text{substrate}}$  is

constant at all times; this assumption takes care of the initial and boundary conditions at the substrate postair domain interface. The assumption is based on the fact that the thermal mass below the base of the posts (which includes the thermoelectric cooler in the experiments) is much larger than the droplet; the impacting droplet will therefore not change  $T_{\text{substrate}}$  significantly. The second boundary condition follows from the fact that the droplet temperature at a position far away from the interface will equal the initial droplet temperature  $T_{\text{droplet}}$ . The two coupled equations can be solved to get analytical expressions for the temperature distributions in the postair domain and the droplet; these solutions are valid for all times, and the detailed expressions are provided in the Supporting Information. The main parameter of interest, however, is the transient temperature variation at the droplet–substrate interface because ice nucleation will start at the interface. The transient temperature of the droplet–substrate interface  $T_{\text{interface}}(t_c)$  can be estimated as (details in Supporting Information)

$$T_{\text{interface}}(t_c) = T_{\text{droplet}} + (T_{\text{substrate}} - T_{\text{droplet}}) \frac{\text{erfc}\left(\frac{h}{2\sqrt{\alpha_{ns}t_c}}\right)}{\text{erfc}\left(\frac{h}{2\sqrt{\alpha_w t_c}}\right)} \quad (5)$$

where  $\text{erfc}$  denotes the complementary error function.

**2c. Heterogeneous Ice Nucleation.** This submodel predicts the kinetics of nucleation of ice clusters on individual posts while the droplet is in contact during the spreading and retraction stages. Classical nucleation theory states that any nucleation event requires the nucleation free-energy barrier to be overcome. This free-energy barrier is lowered when nucleation occurs on a foreign surface (heterogeneous nucleation). The free-energy barrier ( $\Delta G$ ) associated with heterogeneous ice nucleation can be estimated as<sup>27</sup>

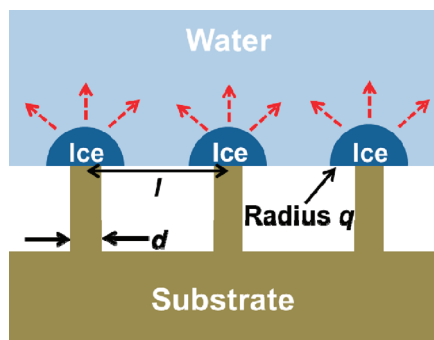
$$\Delta G = \frac{16\pi\gamma_{SL}^3 T_{\text{slf}}^2}{3H_{SL}^2 (T_{\text{slf}} - T_{\text{interface}})^2} s(\theta_c) \quad (6)$$

where

$$s(\theta_c) = \frac{1}{2} + \frac{3}{4} \cos \theta_c - \frac{1}{4} \cos^3 \theta_c \quad (7)$$

In the above equations,  $\gamma_{SL}$  is the solid–liquid (ice–water) interfacial energy,  $T_{\text{slf}}$  is the temperature of the freezing front,  $H_{SL}$  is the latent heat of freezing, and  $\theta_c$  is the contact angle of ice on a flat surface in ambient water. Parameter  $s(\theta_c)$  accounts for the effect of heterogeneous nucleation and ranges from 0 to 1 with  $s(\theta_c) = 1$  corresponding to homogeneous nucleation conditions.<sup>27</sup> The value of  $\theta_c$  has been assumed to be  $90^\circ$  on the basis of the premise that the surface–ice and the surface–water interfacial energies are similar. (The interaction forces do not change significantly when water freezes to ice on the surface.) Furthermore, sensitivity analysis has been carried out to quantify the dependence of the freezing results on  $\theta_c$  (details in Supporting Information). It should be noted that parameter  $\theta_c$  is the only empirical parameter utilized in the entire modeling framework.





**Figure 3.** Schematic depicting the formation and propagation of nucleated ice as hemispherical caps on the posts. The increase in the size and hydrophilicity of the ice caps decreases the macroscopic contact angle of the droplet on the surface.

The nucleation rate<sup>28</sup>  $J(t_c)$  depends on the free-energy barrier and can be expressed as

$$J(t_c) = K \exp\left(-\frac{\Delta G}{kT_{\text{interface}}}\right) \quad (8)$$

where  $K$  is a kinetic coefficient<sup>28</sup> for nucleation and  $k$  is the Boltzmann constant. It should be noted that the nucleation rate shows an exponential dependence on the free-energy barrier and the temperature of the water–substrate interface  $T_{\text{interface}}$ .

**2d. Integrated Model for Predicting Ice Formation on Superhydrophobic Surfaces.** This section combines the three submodels described previously to develop a framework for predicting the supercooled conditions under which impacting droplets will either successfully retract and leave the surface or pin and freeze in place. First, the dynamics model is utilized to estimate the total time of liquid contact with the surface as a function of the radial distance. The droplet dynamics equation (eq 2) can be solved using the harmonic oscillator approach; the results show that the total spreading time of the droplet is

$$t_s = \frac{\pi}{2}\tau \quad (9)$$

Furthermore, the liquid contact time with the surface (during spreading) as a function of the radial distance  $R$  can be obtained from the solution of eq 2 as

$$t_s(R) = \tau\left(\frac{\pi}{2} - \sin^{-1} R\right) \quad (10)$$

It has been experimentally observed<sup>8</sup> that pinning and freezing of the droplet occur during the retraction stage. For convenience, the time  $t_c = 0$  is therefore taken as the time corresponding to the maximum spread position of the droplet. The total contact time ( $t_c$ ) of the liquid at any radial position  $r$  can be expressed (using eq 10) in terms of the retraction time  $t_r$  as

$$t_c = \tau\left(\frac{\pi}{2} - \sin^{-1}(R)\right) + t_r \quad (11)$$

During the expansion and retraction processes, ice will start nucleating at the tips of the posts. In this analysis, it is assumed that the nucleating ice propagates as a hemispherical cap (as shown in Figure 3). This ice formation effectively increases the  $\phi$  ratio (ratio of the top area of the roughness-causing features to the base area) and hydrophilicity of the surface while the droplet

is spreading and retracting. The  $\phi$ -ratio variation depends on the total contact time ( $t_c$ ) (spreading and retraction) and can be expressed using a Taylor series expansion as

$$\phi(t_c) = A_1 t_c + A_2 t_c^2 + \dots \quad (12)$$

The increase in the  $\phi$  ratio and the change in surface chemistry due to the formation of an ice layer result in a change in the macroscopic receding contact angle of the droplet. The contact angle of a droplet in the Cassie state is predicted by the Cassie equation<sup>29</sup> as

$$\cos \theta = -1 + \phi(1 + \cos \theta_f) \quad (13)$$

where  $\theta_f$  is the contact angle of the droplet on a flat surface of the same material as that of the posts.

In the present situation, the water droplet spreads and retracts on the nucleated ice layer; the contact angle of water on ice is assumed to be zero (i.e., water wets ice perfectly). Equation 13 for the macroscopic contact angle thus reduces to

$$\cos \theta = -1 + 2\phi \quad (14)$$

Equations 12 and 14 can be incorporated into the droplet dynamics model (eq 2), which yields

$$\frac{d^2 R}{dt^2} + (1 - A_1 t_c - A_2 t_c^2 + \dots)R = 0 \quad (15)$$

where  $t$  is again the dimensionless retraction time.

The contact time  $t_c$  can be nondimensionalized as  $t_c/X_c = \xi$ , where  $X_c = \tau(\pi/2 - \sin^{-1}(R)) + t_r$ , which results in

$$\frac{d^2 R}{dt^2} + (1 - B_1 X_c - B_2 X_c^2 + \dots)R = 0 \quad (16)$$

where  $B_1 = A_1 \xi$  and  $B_2 = A_2 \xi^2$ .

The second term in eq 16 is the retraction force on the droplet  $F(\theta)$  as a function of the dimensionless contact time  $X_c$ . The solution of eq 16 predicts the location of the droplet contact line ( $R$ ) with time.

Constants  $B_1$  and  $B_2$  can be estimated by relating the Taylor series expansion of the  $\phi$  ratio (eq 12) to the  $\phi$  ratio obtained using heterogeneous nucleation theory and freeze-front propagation rates. It is assumed that ice nucleates and propagates as hemispherical clusters as shown in Figure 3; the cumulative transient  $\phi$  ratio of a surface consisting of posts with such expanding ice caps (radius  $q$ ) can be expressed as

$$\phi(t_c) = 2\pi\phi_0 \int_0^{t_c} J(\hat{t})[q(t_c - \hat{t})]^2 d\hat{t} \quad (17)$$

where  $J$  is the nucleation rate,  $\phi_0$  is the  $\phi$  ratio of the surface without any ice accumulation, and  $q$  is the radius of the ice cap. It should be noted that the area of ice that contacts the droplets (and determines the  $\phi$  ratio) is the hemispherical curved surface area and not the base area of the hemisphere. The initial  $\phi$  ratio  $\phi_0$  of the surface considered in this study (circular posts of diameter  $d$  with square pitch  $l$ ) can be expressed as

$$\phi_0 = \frac{\pi d^2}{4l^2} \quad (18)$$

The ice caps will grow larger with time as the freeze front propagates. For a 1-D ice growth approximation, the freeze-front

propagation rate can be expressed as<sup>30</sup>

$$q(t_c - \hat{t}) = U(T_{\text{sub}}, T_{\text{droplet}}, T_{\text{slf}}) \sqrt{(t_c - \hat{t})} \quad (19)$$

where

$$U = \left( 4\alpha_{\text{ice}} \frac{C_{p,\text{ice}}}{2H_{\text{SL}}} (T_{\text{slf}} - T_{\text{sub}}) \left[ 1 + \frac{k_w}{k_{\text{ice}}} \sqrt{\frac{\alpha_w (T_{\text{slf}} - T_{\text{droplet}})}{\alpha_{\text{ice}} (T_{\text{slf}} - T_{\text{sub}})}} \right] \right)^{1/2} \quad (20)$$

is a measure of the speed of propagation of the freeze front. Equations 8 and 17–20 can be used to estimate the transient  $\phi$  ratio due to ice formation as

$$\phi(t_c) = 2\pi\phi_0 KU^2 \int_0^{t_c} \exp\left(-\frac{\Delta G}{kT(\hat{t})}\right) (t_c - \hat{t}) d\hat{t} \quad (21)$$

The expansion of the above equation using a Taylor series and a comparison with eq 12 results in the values of the constants that we need in eq 16:

$$A_1 = 0, \quad B_1 = 0 \quad (22)$$

$$\begin{aligned} A_2 &= \pi\phi_0 KU^2 \exp\left(-\frac{\Delta G}{kT_{\text{interface}}}\right) B_2 \\ &= \tau^2 \pi\phi_0 KU^2 \exp\left(-\frac{\Delta G}{kT_{\text{interface}}}\right) \end{aligned} \quad (23)$$

The equation governing the dynamics of droplet impact on supercooled superhydrophobic surfaces can now be written using eq 16 as

$$\frac{d^2 R}{dt^2} + (1 - C\phi_0 e^{f(T_{\text{interface}})} t_c^2) R = 0 \quad (24)$$

where  $C$  combines various parameters in eq 23 and can be represented as

$$C = \pi KU^2 \quad (25)$$

The function  $f(T_{\text{interface}})$  highlights the significant influence of the nucleation free-energy barrier (that depends on the interface temperature) on the droplet dynamics and the freezing process.  $f(T_{\text{interface}})$  can be represented using eqs 6 and 8 as

$$f(T_{\text{interface}}) = -\frac{16s\pi\gamma_{\text{SL}}^3 T_{\text{slf}}^2}{3kH_{\text{SL}}^2 (T_{\text{slf}} - T_{\text{interface}})^2 T_{\text{interface}}} s(\theta_c) \quad (26)$$

Furthermore, the dependence of  $T_{\text{interface}}$  on  $T_{\text{substrate}}$  and  $T_{\text{droplet}}$  can be obtained using eq 5.

Equation 24 can be solved numerically and yields the droplet retraction position versus time; this information can be utilized to predict the occurrence of freezing as detailed in the next section.

### 3. MODELING RESULTS AND COMPARISON WITH EXPERIMENTS

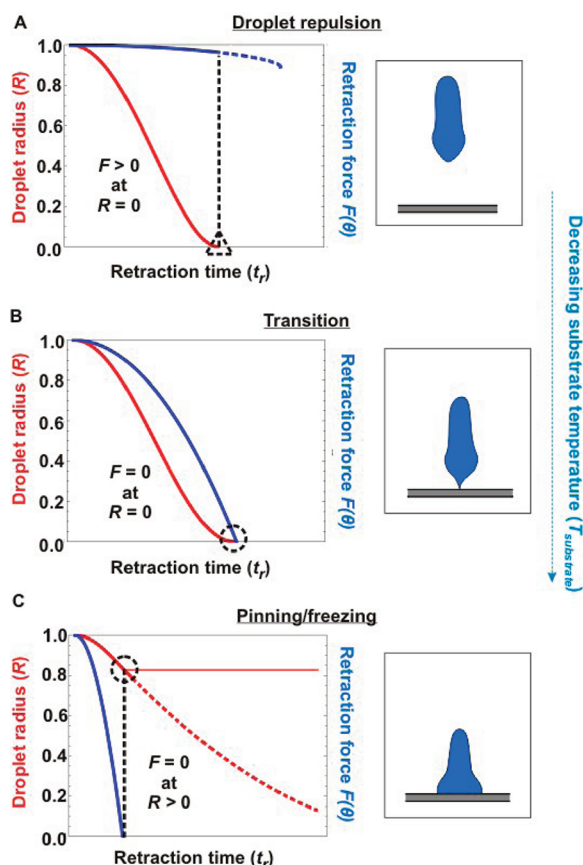
The equations developed in the previous section can be used to predict the conditions that would result in complete retraction or pinning and freezing of a droplet impacting a superhydrophobic surface. The key to the prediction of ice formation is

eq 24; the solution of this equation yields the evolution of the retraction position ( $R$ ) of the droplet contact line as a function of time. It should also be noted that the second term in eq 24 is the time-dependent retraction force acting on the droplet; this retraction force decreases with time under freezing conditions. A comparison of the profiles of the droplet contact line position and the retraction force can be used to predict the occurrence of freezing. If the retraction force is positive over the entire time interval of droplet retraction, then the droplet will dewet completely and there will be no ice formation. If, however, the retraction force becomes zero or negative before the droplet contracts fully, then the droplet will pin at that location and eventually freeze. Thus, the central concept for avoiding ice formation upon liquid impact is surface design and conditions that ensure a positive retraction force throughout the retraction stage.

To verify the models developed in this work, carefully planned experiments were conducted to study freezing upon droplet impact on superhydrophobic surfaces.<sup>8</sup> In this study, 2.5-mm-diameter water droplets at 20, 60, or 0 °C or supercooled to −5 °C impacted superhydrophobic surfaces at controlled substrate temperatures (−35 to 20 °C). The water droplets were released from a height of 10 cm and impacted the surfaces at a 90° angle. A simple heat-transfer analysis indicates that the droplet temperature will not change substantially during the time of flight. The whole experimental apparatus was enclosed in a custom-made environmental chamber that was maintained at room temperature and 5% relative humidity. Droplet impact dynamics were studied by utilizing a high-speed camera to record the spreading and retraction stages of the droplet. The surfaces selected for the present experiments consisted of silicon posts that were silanized to impart superhydrophobicity. The circular posts had a diameter of 1.5 μm, a square pitch of 3.6 μm, and a height of 10 μm. (The  $\phi$  ratio  $\phi_0$  of the surface is 0.14.) More details about the experimental apparatus and the methods employed for surface fabrication are provided in the recent work by Mishchenko et al.<sup>8</sup>

The predictive capabilities of the presently developed modeling framework are demonstrated in Figure 4a–c, which shows the dimensionless droplet contact line radius and the retraction force as a function of the retraction time for three different substrate temperatures. (The initial droplet temperature is 20 °C.) At the substrate temperature corresponding to Figure 4a, it is seen that the retraction force is positive throughout the retraction time interval (i.e., until the radius becomes zero); the existence of a positive force implies that the droplet will successfully bounce off without freezing on the surface. This is demonstrated schematically in Figure 4a and matches the experimental observations<sup>8</sup> wherein the droplet indeed completely comes off of the surface after impact. Figure 4b corresponds to a lower substrate temperature; the plots show that the retraction force becomes zero at the same instant that the droplet radius becomes zero. This is the critical substrate temperature at which the droplet will barely come off the surface; this is schematically shown in Figure 4b and again matches the experimental results.<sup>8</sup> Figure 4c corresponds to even lower substrate temperatures; it is seen that the retraction force becomes zero before the droplet can fully retract. This will inhibit the ability of the droplet to bounce off; it will pin at that location and subsequently freeze, as is experimentally observed.<sup>8</sup>

The model thus clearly predicts the existence of a critical substrate temperature below which liquid droplets will freeze upon impact; no ice formation is expected above this critical



**Figure 4.** Plots of the dimensionless radius and retraction force vs. the retraction time for three different substrate temperatures; the schematics on the right show the results of droplet impact. (A) Complete bounce back of the droplet after impact; this occurs because the retraction force is positive throughout the retraction time interval. (B) Critical substrate temperature at which the droplet just comes off the surface; this occurs because the retraction force becomes zero at  $R = 0$ . (C) The droplet freezes during retraction; this corresponds to the retraction force becoming zero before the droplet can fully retract.

substrate temperature. This critical temperature depends on the surface morphology, surface chemistry, and thermal properties of the substrate and the liquid. For the present set of experiments, the model predicts that this critical transition temperature of the substrate is  $\sim -20^\circ\text{C}$  (details in Supporting Information). This is in excellent agreement with our previous experimental results<sup>8</sup> in which droplets freeze upon impact at substrate temperatures below  $-20$  to  $-25^\circ\text{C}$ . It should also be noted that the model predicts the conservative (higher) estimate of the critical substrate temperature. In reality, ice formation is initiated after a finite induction time for nucleation elapses; this implies that the critical substrate temperature for freezing should be lower than the predicted value, which matches the experimental observations.

The model can be utilized to study the influence of various surface parameters and material properties on the occurrence of freezing. An analysis of eq 24 shows that the retraction force is a strong function of the interface temperature  $T_{\text{interface}}$ ; this is expected because the interface temperature determines the nucleation rate and the extent of propagation of the freeze front. The interface temperature is, in turn, determined by the initial droplet temperature  $T_{\text{droplet}}$  and the substrate temperature  $T_{\text{substrate}}$  as predicted by eq 5. An examination of eq 5 reveals

that the interface temperature is dominated by the substrate temperature and shows a weak dependence on the initial droplet temperature. This trend has clearly been noticed in the experimental results; the substrate temperature at which a drop freezes upon impact does not change significantly with initial droplet temperatures. It should be noted that this dominance of the substrate temperature  $T_{\text{substrate}}$  in determining the interface temperature holds true only for silicon-based surfaces and is a consequence of the higher thermal diffusivity of silicon ( $8.9 \times 10^{-5} \text{ m}^2/\text{s}$ ) compared to that of water ( $1.3 \times 10^{-7} \text{ m}^2/\text{s}$ ). For the impact of water droplets on polymeric superhydrophobic surfaces (e.g., PDMS with a thermal diffusivity of  $1.08 \times 10^{-7} \text{ m}^2/\text{s}$ ), the contributions of the substrate and the initial droplet temperatures in determining  $T_{\text{interface}}$  are expected to be comparable.

Control of the interface temperature  $T_{\text{interface}}$  is an important tool in limiting ice formation on superhydrophobic surfaces. Equation 5 also reveals the role of the superhydrophobic structure in influencing heat transfer and hence the subsequent freezing process. Equation 5 indicates a weak dependence of  $T_{\text{interface}}$  on the height of the posts; making the posts shorter or taller will thus not change the interface temperature significantly for a specified substrate and initial droplet temperature. This finding also justifies the use of a constant-temperature boundary condition at the base of the pillars; in certain situations the base may not be isothermal. However, any deviation of the constant-temperature plane from the base of the pillars will not influence  $T_{\text{interface}}$  significantly. (It should be noted that the thermal diffusivities of silicon and the postair domain are of similar magnitudes.) Equation 24 also shows that the  $\phi$  ratio of the posts ( $\phi_0$ ) appears outside the exponential term; this implies that the  $\phi$  ratio will not significantly influence droplet freezing. However, the  $\phi$  ratio influences the droplet dynamics; increasing the  $\phi$  ratio reduces the superhydrophobicity, which will influence the droplet dynamics unfavorably and could lead to undesired pinning effects. Lastly, because the thermal diffusivities of air ( $2.2 \times 10^{-5} \text{ m}^2/\text{s}$ ) and silicon ( $8.9 \times 10^{-5} \text{ m}^2/\text{s}$ ) are of similar magnitudes, structuring the surface will not increase the transient heat-transfer resistance significantly (despite the lower thermal conductivity of air as compared to that of silicon). Increasing the transient resistance to heat transfer from the droplet to the substrate is key to increasing  $T_{\text{interface}}$  and reducing the probability of nucleation. On the basis of these observations, polymeric substrates are expected to have more favorable anti-icing properties because of their lower thermal diffusivities. The thermal diffusivities of PDMS ( $1.08 \times 10^{-7} \text{ m}^2/\text{s}$ ) and silicon ( $8.9 \times 10^{-5} \text{ m}^2/\text{s}$ ) differ by almost 3 orders of magnitude; the transient thermal resistance to heat transfer offered by polymeric substrates will therefore be higher. However, the impact dynamics on polymeric surfaces is expected to be different<sup>31</sup> as compared to that on silicon-based surfaces because of the significantly lower elastic modulus of polymers (which leads to substrate deformation). Because of these additional considerations, a detailed analysis of icing on polymeric substrates is not conducted and is beyond the scope of this article.

The above discussion illustrates the use of the present model to predict the influence of various parameters related to surface morphology, surface chemistry, and thermal properties on dynamic ice formation on superhydrophobic surfaces. A key aspect of the modeling approach is the minimal reliance on empirical (one parameter,  $\theta_C$ ) and measured data. The model can thus be easily extended and applied to the analysis of freezing



on other types of structures (e.g., closed-cell structures and hierarchical structures). To the best of our knowledge, this model is the first detailed physics-based framework for the design of advanced surfaces for anti-icing applications.

#### 4. CONCLUSIONS

The present work developed a predictive modeling framework for understanding ice formation on superhydrophobic surfaces upon droplet–surface impact under freezing conditions. It is important to stress that the modeling is physics-based and that the reliance on empirical parameters is minimal (one parameter). The influence of surface chemistry, surface morphology, thermal properties, and temperature gradients on ice formation can be clearly outlined. The model can be used to identify dominant parameters and conditions (from a vast parameter space) that will reduce ice formation. This work thus outlines the underlying principles that are critical in the design of advanced surfaces and strategies for mitigating ice formation. It is also important to note that the models developed in the present work have applications beyond ice formation and can be utilized for the study of other phase-change phenomena on superhydrophobic surfaces, such as boiling, condensation, and sublimation.

#### ■ ASSOCIATED CONTENT

**S Supporting Information.** Model to predict heat transfer on superhydrophobic surfaces. Estimating the critical substrate temperature for freezing transitions. Sensitivity of the critical substrate temperature to  $\theta_c$  (empirical parameter). This material is available free of charge via the Internet at <http://pubs.acs.org>.

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#### ■ NOMENCLATURE

$A_1, A_2$	Taylor series coefficients for the expansion of the $\phi$ ratio
$B_1, B_2$	Taylor series coefficients for the expansion of the $\phi$ ratio (with the contact time nondimensionalized)
$C$	constant that combines various parameters in the droplet dynamics equation
$C_{p\_ice}$	specific heat capacity of ice
$d$	diameter of posts
$F(\theta)$	retraction force on the droplet
$h$	height of posts
$H_{SL}$	latent heat of freezing of ice
$k$	Boltzmann constant
$k_{ice}$	thermal conductivity of ice
$k_w$	thermal conductivity of water
$K$	kinetic coefficient corresponding to freezing ( $10^{24} \text{ m}^{-2} \text{ s}^{-1}$ )
$l$	pitch between posts
$m_0$	droplet mass
$J$	ice nucleation rate

$r$	radial position of the droplet contact line
$r_{\max}$	maximum spread radius of the droplet
$R_m$	maximum spread radius of the droplet
$q$	radius of the hemispherical ice cap
$t$	dimensionless retraction time of the droplet
$t_c$	total time of liquid contact with the substrate (at a particular droplet position)
$t_r$	retraction time of the droplet
$t_s$	spreading time of the droplet
$s$	function that depends on the droplet–substrate interface temperature
$T_{slf}$	temperature of the freezing front ( $0^\circ \text{C}$ )
$T_{\text{substrate}}$	temperature at the base of the structured substrate
$T_{\text{droplet}}$	temperature of the droplet before impacting the cold substrate
$T_{\text{interface}}$	transient temperature of the droplet–structured substrate interface
$T_{\text{sub\_crit}}$	minimum temperature of the substrate at which water droplets pin upon impact
$U$	measurement of the speed of propagation of the freezing front
$\alpha_{ice}$	thermal diffusivity of ice
$\alpha_{ns}$	thermal diffusivity of the structured substrate
$\alpha_w$	thermal diffusivity of water
$\Delta G$	free-energy barrier for ice nucleation
$\gamma$	surface tension (liquid–air)
$\gamma_{SL}$	ice–water interfacial energy ( $20 \text{ mN/m}$ )
$\phi$	$\phi$ ratio of the surface undergoing ice nucleation
$\phi_0$	initial $\phi$ ratio of the surface (without any ice formation)
$\tau$	time constant for droplet spreading and retraction
$\theta$	macroscopic contact angle of a droplet on a superhydrophobic surface
$\theta_C$	ice–flat surface contact angle ( $90^\circ$ ) in ambient water
$\theta_f$	contact angle of water on a flat surface in air

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